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|--------------------|-------------------------------|------------------|---------------------------|--------------|---------------------------|
| Charged Party(ies) | Jack Stein Sheila Stein | ACBL # | 2289075 9589260 | Hearing Date | NR review 01/18/2021 |
| Disciplinary Body | Appeals and Charges Committee | Hearing Location | Web conference using Zoom | | |
| Charging Party | Joseph Jones | ACBL# | 5399696 | Complainant | Robb Gordon ACBL# K652927 |

COMMITTEE MEMBERS WHO HEARD THE CHARGES

| Name | ACBL # | Name | ACBL # |
|-------------------------|---------|-------------|---------|
| A.J. Stephani, Chairman | Q368694 | Jeff Overby | O879926 |
| Dennis Carman | O828450 | Laurie Rowe | P508832 |
| Margot Hennings | N242118 | Tim White | M029585 |
| Deana Liddy | P205082 | | |

INDIVIDUALS THAT PARTICIPATED IN THE HEARING (excluding committee members)

| Name | ACBL# | Via | Capacity | Name | ACBL# | Via | Capacity |
|------------|---------|------------|------------------|------|-------|------------|------------|
| Linda Dunn | P197314 | web conf. | In-House Counsel | | | Select One | Select One |
| | | Select One | Select One | | | Select One | Select One |
| | | Select One | Select One | | | Select One | Select One |
| | | Select One | Select One | | | Select One | Select One |

EVIDENCE AND ARGUMENTS PRESENTED (Summarize evidence and arguments presented including testimony. If additional space is needed, continue on page 3 of this hearing report.) (Note: provide new documentary evidence introduced during the hearing to the Office of National Recorder)

A Negotiated Resolution packet was provided by the Compliance Coordinator to the committee. It included the following: Negotiated Resolutions, Charge Letter, Recorder Complaint, and the Charged Parties' confirmation of receipt of the Charged Party's Rights and Charged Party's Tips.

Mr. Stein provided the following statement in his Negotiated Resolution: "During the period April 1, 2020 to July 31, 2020 I occasionally spoke to my wife Sheila R. Stein (7589260) during the play of hands on BBO regarding suits, strength, distribution and best leads. I apologize for these actions and assure you it will never happen again!"

Mrs. Stein provided the following statement in her Negotiated Resolution: "My husband Jack (ACBL #2289075) and I communicated information and regret this behavior."

Parties are not present during a Negotiated Resolution review. Therefore, arguments were not presented.

COMMITTEE FINDINGS OF FACT (based on the evidence, state the committee's conclusion as to what happened)

In the matter of Jack and Sheila Stein, the Committee approved a Negotiated Resolution entered into by the party and ACBL management in which they admitted a violation of CDR § 301(A)(2): Collusive Cheating: Other. They agreed to a Suspension of 180 days followed by a Probation of 2 years and 6 months and a forfeiture of all Masterpoints won from 4/1/20 - 7/31/20. They will be Members "Not in Good Standing" during the length of their Probationary period.

DECISION (click on the box next to Not Responsible or Responsible of violating the CDR to add a check mark.)

Not Responsible

Responsible

CDR GROUNDS FOR DISCIPLINE Type the section(s) and description(s) found in CDR 3 which led to the discipline.

CDR 301A(2) Collusive Cheating: Other. "Collusive Cheating" is an agreement, whether implicit or explicit, made between two or more people, other than Collusive Signaling, to affect the outcome of a competition in any manner not expressly permitted by Law 73. Neither person need be a contestant to be charged under this section.

DISCIPLINE (Unless a discipline begins immediately, which requires you to complete a Notice of Immediate Discipline, all begin dates should start at least five days after you submit this hearing Report to the Office of National Recorder.

Disciplined Party is convicted of premeditated or collusive cheating (or has admitted to such action), CDR 4.1.8 (a) YES NO

If yes, all masterpoints, titles and ACBL status ranks and other ACBL related awards earned by the disciplined party will be forfeited. These penalties also apply to the teammates and partners while playing with the disciplined party during the seven years preceding the date the Charges were brought through and including the date of this final decision.

| | Start Date | End Date | Additional Requirements/Comments |
|--|------------|------------|---|
| <input type="checkbox"/> REPRIMAND | | N/A | <input type="checkbox"/> Letter of Reprimand Attached |
| <input checked="" type="checkbox"/> PROBATION | 04/30/2021 | 04/29/2023 | 2 years - both parties |
| <input checked="" type="checkbox"/> SUSPENSION | 11/1/2020 | 04/29/2021 | 180 days - both parties |

Is the disciplined party Suspended because of an Ethical Violation (CDR 4.1.8 (b))? YES NO

If yes, the masterpoints and titles won in the event by the disciplined party, partners and teammates in which the offense occurred will be forfeited.

Is the Suspension imposed due to an Ethical Violation more than one year (CDR 4.1.8 (b))? YES NO

If yes, the MPs, titles or other ACBL related award earned by the discipline party within twelve months preceding the date of the offense will be forfeited.

EXPULSION N/A

SUSPENDED SENTENCE (only used in conjunction with an imposed Suspension from above that you have converted to Probation). State the condition of the sentence below

EXCLUSION from Events and Programs (list the exclusions and the dates of the events or activities). CDR 4.1.6

REDUCTION OR FORFEITURE of Masterpoints (MP) or Tournament Rank or Disqualification.

Remove MPs earned from April 1, 2020 - July 31, 2020

Remove MPs earned for the entire tournament in which the offense occurred

Reduction of rank in the event in which the offense occurred

Disqualification in the tournament

Disqualification in the event in which offense occurred

The teammates and partners will also receive these penalties in the event in which the offense occurred.

STATE THE OFFENSE(S) FROM APPENDIX B, CHART 1 and/or CHART 2 OF THE CDR

N/A

The discipline imposed above is within the recommended guidelines of Appendix B, Chart 1 and/or Chart 2.

If it is OUTSIDE the guidelines, a reason must be stated below.

RESPECTFULLY SUBMITTED (CHAIR)

/s/ A.J. Stephani

Date: 1/18/21

Electronic Signature (type name above)

Wet Signature (sign above)